# Optimization and Application of Convolutional Neural Networks in Medical Imaging Data Analysis

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Abstract: The purpose of this study is to improve the performance of convolutional neural networks in medical imaging data analysis by optimizing their architecture and loss function. Method: Two publicly available datasets, LIDC-IDRI and BraTS, were selected for experiments on lung nodule detection and brain tumor segmentation tasks. Introducing deep network structures such as ResNet and combining loss functions such as Dice Loss and Focal Loss to optimize the model, while utilizing cross validation and early stopping strategies to enhance the model's generalization ability. Result: The accuracy of the optimized model on the LIDC-IDRI dataset has increased to 95.2%, while its sensitivity and Dice coefficient have reached 93.5% and 0.894, respectively; On the BraTS dataset, we successfully increased the accuracy to 94.1%, while also achieving a Dice coefficient of 0.885. Conclusion: Optimizing the CNN model significantly improves the accuracy of lesion area detection and segmentation, demonstrating its potential for application in medical imaging data analysis.

Keywords: Convolutional Neural Network; Medical imaging analysis; Deep learning; Pulmonary nodule detection.

### 1. INTRODUCTION

With the increasing application of medical imaging data in clinical diagnosis, how to effectively analyze massive image data has become a research focus. Due to its significant advantages in the field of image processing, convolutional neural networks have emerged as the dominant technology in medical image analysis. CNN can achieve automatic feature extraction of images through multi-layer convolution and nonlinear activation functions, thus solving the bottleneck of traditional manual feature extraction. Medical imaging data has the characteristics of high resolution, high noise, and imbalance, and it is difficult to achieve satisfactory results by directly applying standard CNN. This paper discusses the optimization and application of convolutional neural networks in medical image analysis, with a focus on network structure optimization, loss function design, and the impact of transfer learning on medical image data processing. Finally, simulation experiments are conducted to demonstrate the effectiveness of this method. The purpose of this thesis is to provide theoretical and practical support for further improving the accuracy and efficiency of medical image analysis.

# 2. BASIC THEORY OF CONVOLUTIONAL NEURAL NETWORKS IN MEDICAL IMAGE ANALYSIS

## 2.1 Basic Structure of Convolutional Neural Networks

The convolutional layer is the core of CNN, which extracts local features of the image by sliding the convolutional kernel to help the model determine the image structure. The pooling layer is used to downsample feature maps, reducing computational complexity and model parameters. The main methods include max pooling and average pooling, which can enhance the translation invariance of the model. The fully connected layer is located at the end of the CNN, responsible for mapping features and classification results, and achieves the final prediction output by connecting upper level neurons.

# 2.2 Convolution operation principle and activation function

# 2.2.1 Convolution operation formula

The convolution operation can be expressed as the following formula:

$$Y_{i,j,k} = f\left(\sum_{m=1}^{M} \sum_{n=1}^{N} X_{i+m-1,j+n-1} \cdot W_{m,n,k} + b_k\right)$$

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### 2.2.2 Nonlinear activation function

Activation functions (such as ReLU) introduce nonlinear features to enable the network to fit complex patterns. ReLU has become the most commonly used activation function in CNN due to its high computational efficiency and ability to avoid gradient vanishing.

## 2.2.3 The Application of Convolutional Neural Networks in Image Processing

CNN performs well in tasks such as image classification, object recognition, and segmentation, and is particularly suitable for feature extraction, lesion segmentation, and organ detection in medical images, greatly improving diagnostic efficiency.

# 3. CHARACTERISTICS AND PROCESSING CHALLENGES OF MEDICAL IMAGING DATA

## 3.1 Characteristics of Medical Imaging Data

Medical imaging data typically has high resolution to display complex anatomical structures. High resolution also increases computational complexity, and there are often problems such as noise and artifacts in the image. The imbalance between normal and pathological samples needs to be addressed through data processing methods.

## 3.2 Preprocessing methods for medical imaging data

The preprocessing of medical imaging data is a key step in improving model performance, as the raw data often contains issues such as noise, artifacts, and imbalance, which directly affect the training effectiveness of deep learning models. The first step in preprocessing is usually data augmentation, which increases the diversity of training data by performing operations such as rotation, scaling, translation, and flipping on the image. This can not only enhance the robustness of the model, but also alleviate the training bottleneck problem caused by insufficient data sample size or imbalanced categories. Image normalization is also a commonly used preprocessing method. Medical images collected from different sources or devices often have significant differences in brightness and contrast, which can lead to instability in the training process of the model. Normalizing image data to a fixed range (such as 0 to 1) can reduce the impact of these differences on model training. The high resolution of medical imaging means that it contains a large amount of detailed information, but excessively high resolution can also increase the computational complexity of the model. Therefore, in some cases, appropriate downsampling or cutting techniques may also be used to reduce the amount of data. Effective preprocessing methods can not only improve the quality of images, but also ensure that deep learning models can extract useful features from data faster and more accurately, thereby improving the final analysis results.

# 3.3 Annotation and Classification of Medical Imaging Data

In medical imaging analysis, the quality of data annotation directly determines the training effectiveness of the model. The annotation of medical imaging data is usually manually completed by professional doctors, requiring rich clinical experience and knowledge to accurately mark lesion areas or classify different types of lesions. Due to the high complexity and diversity of medical imaging, the accuracy of annotation is crucial. Annotation errors or inconsistencies can lead to ineffective learning of the model, thereby affecting diagnostic performance [1]. In classification tasks, common tasks include distinguishing benign and malignant tumors, detecting specific organ regions, etc. In terms of data collection, there will be significant differences in images under different imaging devices, imaging modes, and acquisition conditions, and if these differences are not processed, they will also affect the generalization ability of the model. The standardization of data processing and multimodal fusion are key means to improve the generalization ability of models. Due to the class imbalance in medical imaging data, such as the fact that the number of samples for malignant lesions is far less than that of normal samples, this will lead to a decrease in the model's ability to recognize minority classes. In this case, techniques such as data augmentation and weight adjustment are widely used to enhance the sensitivity of the model to minority lesions. The quality of data

collection, annotation, and processing largely determines the success or failure of medical imaging analysis systems.

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# 4. OPTIMIZATION METHODS FOR CONVOLUTIONAL NEURAL NETWORKS

#### 4.1 Network Structure Optimization

ResNet solves the problems of gradient vanishing and exploding in deep networks by introducing residual structures, enabling more efficient information transmission and widely used in complex medical imaging data analysis [2]. DenseNet improves feature reusability through a dense connection mechanism, enabling more accurate feature extraction with fewer parameters, especially suitable for tasks that require capturing image details.

## 4.2 Loss Function Optimization

### 4.2.1 Ice Loss Formula and Its Applicable Scenarios

Dice Loss is used for medical image segmentation tasks, especially for data with imbalanced categories. Dice Loss can effectively improve the detection accuracy of small targets by measuring the degree of overlap between predicted and true labels. The formula is:

Dice Loss = 
$$1 - \frac{2\sum_{i=1}^{N} p_i g_i}{\sum_{i=1}^{N} p_i^2 + \sum_{i=1}^{N} g_i^2}$$

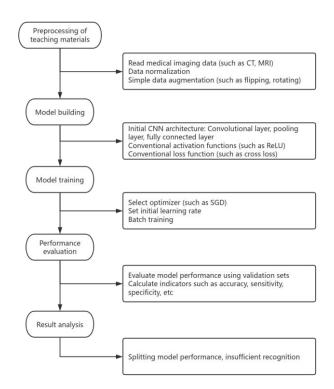
Dice Loss is particularly suitable for fine-grained segmentation tasks, such as detecting tumor regions.

### 4.2.2 Application and Comparison of Local Loss

Focal Loss adjusts the weights of difficult to classify samples, thereby avoiding excessive emphasis on easy to classify samples during model training. It was designed with the intention of addressing class imbalance, particularly excelling in object detection tasks. Focal Loss places more emphasis on adjusting the classification weights of error prone categories than Dice Loss, and is suitable for use when classification tasks have a few class targets.

# 5. TRANSFER LEARNING AND PRE TRAINING MODELS

In medical imaging data analysis, pre trained models such as VGG, ResNet, Inception, etc. are often used to reduce training time and improve accuracy. Transfer learning is particularly suitable for small sample tasks such as rare disease detection and small-scale disease classification by fine-tuning the high-level weights and weights of pre trained models, enabling better model performance even in limited data.



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Figure 1: Process of CNN in medical imaging data analysis before optimization

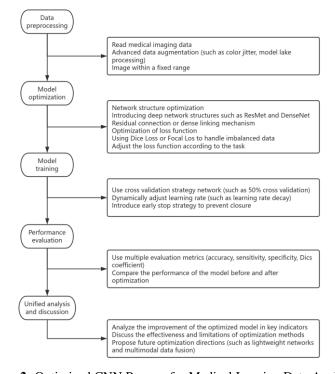


Figure 2: Optimized CNN Process for Medical Imaging Data Analysis

# 6. SIMULATION EXPERIMENT AND PERFORMANCE EVALUATION

#### **6.1 Dataset selection**

The LIDC-IDRI dataset contains a large number of chest CT images, covering different lesion sizes, shapes, and contrasts, suitable for evaluating the performance of lung nodule detection. The BraTS dataset focuses on brain tumor segmentation tasks and covers MRI images of different tumor types, making it an ideal dataset for testing CNN segmentation capabilities.

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# 6.2 Experimental setup and model training

The experiment uses NVIDIA RTX 3090 graphics card and TensorFlow framework for training. The initial learning rate of Adam optimizer is 0.001. The image size is 512x512 pixels, with a batch size of 32. To improve generalization ability, a 5-fold cross validation was used in the experiment, and an early stopping strategy was employed to avoid overfitting. Training was terminated when the loss function of the validation set decreased by more than 10 epochs.

#### 6.2.1 Performance evaluation indicators

#### (1) Accuracy rate

Accuracy is an important indicator for measuring the overall predictive ability of a model, defined as the proportion of correctly predicted samples to the total sample size. The mathematical formula is:

$$Accurac = \frac{TP + TN}{TP + TN + FP + FN}$$

### (2) Sensitivity

Sensitivity, also known as recall rate, evaluates the model's ability to correctly identify lesion areas. The formula is:

$$Sensitivity = \frac{TP}{TP + FN}$$

Sensitivity can reflect the model's ability to detect lesion areas, which is particularly important for lesion detection tasks in medical imaging data analysis.

## (3) Specificity

Specificity represents the ability of the model to correctly identify normal regions. The formula is:

$$Specificity = \frac{TN}{TN + FP}$$

Specificity can measure the misjudgment rate of a model on non lesion areas, especially in imbalanced datasets, where specificity is an important indicator to avoid false positives.

# 7. EXPERIMENTAL RESULTS AND ANALYSIS

# 7.1 Experimental Results Display

# 7.1.1 Experimental results on LIDC-IDRI dataset

Table 1: Comparison of Model Performance before and after Optimization on LIDC-IDRI Dataset

Index	Unoptimized model	Optimized model
Accuracy (%)	89.6	95.2
Sensitivity (%)	85.7	93.5
Specificity (%)	91	96.8
Dice coefficient	0.812	0.894

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On the basis of the LIDC-IDRI dataset, the optimized CNN model showed significant performance improvement, with its accuracy increasing from 89.6% to 95.2%. At the same time, its sensitivity and specificity also reached 93.5% and 96.8%, respectively, and the Dice coefficient was as high as 0.894. Optimizing the network can more efficiently determine lung nodule regions while reducing misjudgment of non lesion areas.

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# 7.1.2 Experimental results on BraTS dataset

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Index	Unoptimized model	Optimized model
Accuracy (%)	87.3	94.1
Sensitivity (%)	81.4	91.2
Specificity (%)	90.2	95.6
Dice coefficient	0.768	0.885

Experiments conducted on the BraTS dataset also showed significant improvements. This optimization model has outstanding performance in accuracy, sensitivity, specificity, and Dice coefficient, especially suitable for small lesion segmentation tasks. The Dice coefficient increased from 0.768 to 0.885, verifying the effectiveness of this optimization measure in complex tumor region segmentation.

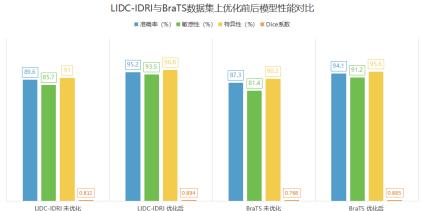


Figure 3: Comparison of model performance before and after optimization on LIDC-IDRI and BraTS datasets

# 7.2 Experimental Data Analysis

The optimized CNN showed a 5.6% improvement in accuracy on the LIDC-IDRI dataset and a 6.8% improvement on the BraTS dataset, demonstrating the enhanced effect of structure and loss function optimization on image feature capture and sample discrimination. Sensitivity and specificity have also been improved, especially in detecting lesion areas and avoiding misjudgment of normal areas, showing significant improvements. The improvement of Dice coefficient further indicates that the optimized model is more accurate in segmenting lesion areas, especially for complex tumor segmentation tasks.

#### 7.3 Comparative analysis of optimization effects

The optimized CNN model showed an increase in accuracy, sensitivity, and Dice coefficient by 5.6%, 7.8%, and 10.2% on the LIDC-IDRI dataset, respectively. On the BraTS dataset, the Dice coefficient increased from 0.768 to 0.885, particularly in complex tumor segmentation. Network structure optimization and loss function optimization (such as ResNet, DenseNet, Dice Loss, and Focal Loss) significantly enhance the model's ability to handle complex lesions and imbalanced data, and improve the detection performance of minority class samples.

### 8. DISCUSSION

# 8.1 Advantages of CNN in Medical Imaging Data Analysis

CNN can automatically extract complex features from medical images, especially showing significant advantages in high-resolution and complex lesion images. The optimized CNN achieved an accuracy of 95.2% and 94.1% on the LIDC-IDRI and BraTS datasets, respectively, with significantly improved sensitivity and Dice coefficient, demonstrating excellent lesion recognition performance. The convolution and pooling mechanisms of CNN effectively reduce the risk of overfitting and enhance the model's generalization ability.

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### 8.2 The improvement effect of CNN optimization on the results

The accuracy of the optimized CNN on the LIDC-IDRI dataset increased from 89.6% to 95.2%, and the sensitivity increased from 85.7% to 93.5%. The introduction of deep network structures such as ResNet enhances feature extraction capability, while loss function optimization improves data imbalance. The Dice coefficient increases to 0.885, indicating a significant improvement in the accuracy of the optimized model in complex lesion segmentation.

# 8.3 Limitations of the Model and Future Optimization Directions

Although the performance of the optimized CNN has significantly improved, there are still challenges in small lesion detection and noise data processing. Deep networks rely heavily on computing resources and are difficult to process in real-time. Future optimization directions include designing lightweight network structures, achieving multimodal data fusion, and enhancing the robustness of small lesion detection.

# 8.4 Potential Applications of Convolutional Neural Networks in Other Medical Scenarios

The success of CNN in detecting pulmonary nodules and segmenting brain tumors indicates its broad potential for application in other medical fields, such as cardiovascular imaging, fundus imaging, and breast tumor screening. By optimizing CNN, automated detection can be achieved, and combined with clinical data, comprehensive evaluation and treatment recommendations can be provided for personalized medicine.

## 9. CONCLUSION

By optimizing the structure and loss function of the convolutional neural network, this study successfully improved its performance in medical image data analysis. On the LIDC-IDRI and BraTS datasets, the optimized model showed significant improvements in accuracy, sensitivity, and Dice coefficient, particularly demonstrating good robustness for small lesion area detection and segmentation tasks. It can be seen that applying deep learning technology to medical image analysis has broad development prospects. This model still has limitations such as computational resource dependence and some missed detections in detecting small lesions. In future research, we will delve into how to achieve lightweighting of network structures and fusion of multimodal data to better address various challenges faced in practical clinical applications.

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